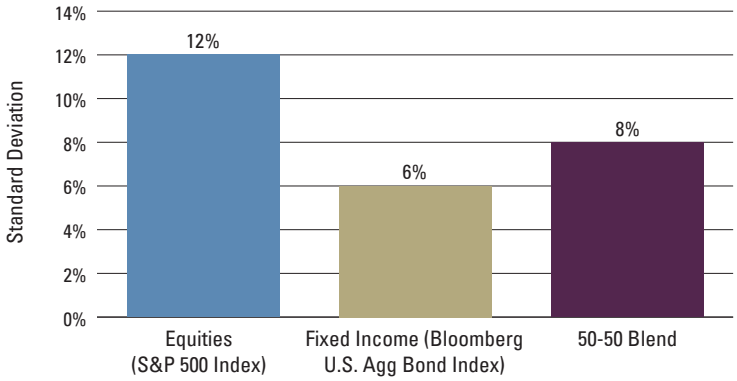
GUGGENHEIM INVESTMENTS

Balanced Income Builder Portfolio, Series 57

Balanced Exposure for Income and Lower Volatility

A balanced portfolio typically combines equity and fixed-income asset classes to diversify and potentially reduce risk, especially in more volatile markets. Because equities and fixed-income securities often perform differently, and also may react differently to volatility, the combination of the two asset classes may offer investors upside potential, while helping to minimize volatility and potentially improve overall risk/return. The Balanced Income Builder Portfolio provides access to approximately 50 percent U.S. equities and 50 percent fixed-income ETFs, as of date of deposit.

EQUITY VS. FIXED INCOME VS. BLEND RISK PROFILE



Source: Morningstar, 10.1.2023-9.30.2026. **Past performance is no guarantee of future results.** There is no guarantee that the trends and projections noted above will continue or come to fruition and they are subject to change. Standard deviation is a statistical measure of the historical volatility of an investment, usually computed using the most recent 36-monthly returns and then annualized. More generally, it is a measure of the extent to which numbers are spread around their average. The higher the number, the more volatility is to be expected. The chart is for illustrative purposes only and it is not meant to forecast, imply or guarantee the future performance of any Guggenheim Investments product. Index definitions on next page. The 50-50 blend is 50 percent equities and 50 percent fixed income.

Investment Objective

The Balanced Income Builder Portfolio, Series 57 (Trust) seeks current income as the primary objective, with the potential for capital appreciation as a secondary objective.

Key Considerations

- **Growth & Income:** The portfolio is an approximate 50-50 blend of dividend-paying U.S. listed equities[1] and tactically selected fixed-income exchange traded funds (ETFs) that seeks to provide the potential for capital appreciation along with consistent income.
- **Low Correlation:** The portfolio is strategically balanced, as of date of deposit, between U.S. equities and fixed-income ETFs seeking to provide enhanced diversification[2] through low correlation.
- **Asset Allocation:** The proper asset allocation may help investors manage market volatility by helping to improve a portfolio's risk-adjusted returns. When used as part of a client's overall investment strategy, the Trust provides a single investment allocation spanning across multiple segments of the market, as of date of deposit.

1 Certain common stocks included in the Trust portfolio are issued by real estate investment trusts (REITs).

2 Diversification neither assures a profit nor eliminates the risk of experiencing investment losses.

Portfolio Holdings

Holdings, breakdown, and weightings are as of 10.22.2026 and subject to change.

Ticker	Name
Common Stocks (39.99%)	
Communication Services (3.99%)	
T	AT&T, Inc.
VZ	Verizon Communications, Inc.
Consumer Discretionary (2.00%)	
BBY	Best Buy Company, Inc.
Consumer Staples (4.00%)	
MO	Altria Group, Inc.
KMB	Kimberly-Clark Corporation
Energy (2.00%)	
OKE	ONEOK, Inc.
Financials (9.93%)	
ARES	Ares Management Corporation
BX	Blackstone, Inc.
PRU	Prudential Financial, Inc.
RF	Regions Financial Corporation
TROW	T Rowe Price Group, Inc.
Health Care (4.03%)	
BMY	Bristol-Myers Squibb Company
PFE	Pfizer, Inc.
Industrials (4.01%)	
PAYX	Paychex, Inc.
UPS	United Parcel Service, Inc.
Materials (6.02%)	
AMCR	Amcor PLC
IP	International Paper Company
SW	Smurfit Westrock PLC

Ticker	Name
Utilities (4.01%)	
ES	Eversource Energy
FE	FirstEnergy Corporation
Exchange Traded Funds (50.03%)	
PFFD	Global X US Preferred ETF
GCLO	Guggenheim Investment Grade CLO ETF
GISC	Guggenheim Securitized Income ETF
BKLN	Invesco Senior Loan ETF
BAB	Invesco Taxable Municipal Bond
FALN	iShares Fallen Angels USD Bond ETF
CEMB	iShares J.P. Morgan EM Corporate Bond ETF
MBB	iShares MBS ETF
SCHP	Schwab US TIPS ETF
SJNK	State Street SPDR Bloomberg Short Term High Yield Bond ETF
VCIT	Vanguard Intermediate-Term Corporate Bond ETF
VCLT	Vanguard Long-Term Corporate Bond ETF
VMBS	Vanguard Mortgage-Backed Securities ETF
Real Estate Investment Trusts (9.98%)	
Real Estate (9.98%)	
INVH	Invitation Homes, Inc.
KIM	Kimco Realty Corporation
O	Realty Income Corporation
SPG	Simon Property Group, Inc.
WPC	WP Carey, Inc.

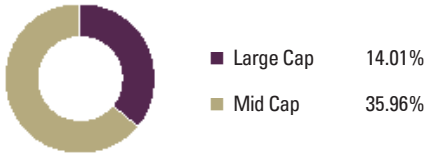
Portfolio Allocation

Breakdowns and weightings are as of 10.22.2026 and subject to change.

FIXED-INCOME SECTOR WEIGHTINGS

Corporate High Yield	8.01%
Corporate Investment Grade	8.47%
Floating Rate / Senior Loan	5.01%
Inflation Protection	5.01%
Agency Mortgage Backed Securities	7.53%
Munis BAB	1.50%
Preferred	3.01%
Securitized Credit	9.99%
International	1.50%
Fixed-Income ETFs Total	50.03%

CAPITALIZATION BREAKDOWN



The capitalization breakdown excludes fixed-income ETFs and represents 49.97% of the overall portfolio.

PORTFOLIO SUMMARY

Inception Date	10.23.2026
Termination Date	10.25.2028
Initial Offer Price	$10.00
Number of Issues	38
Historical Annual Dividend Distribution[3]	$0.4420
Distributions	25th day of each month commencing on 11.25.2026, if any

SALES CHARGES AND ESTIMATED EXPENSES

The sales charges (S/C) and estimated expenses are based on a $10 per unit offering price.

	Standard	Fee/Wrap[4]
Deferred S/C[5]	2.25%	-
Creation and Development (C&D) Fee	0.50%	0.50%
Total S/C	2.75%	0.50%
Estimated Organization Expenses[6]	0.18%	0.18%
Estimated Annual Fund Operating Expenses[7]	0.34%	0.34%

TICKETING INFORMATION - CUSIPS

Cash	40178V541
Reinvest	40178V558
Fee/Cash	40178V566
Fee/Reinvest	40178V574
Ticker	CGBIGX

3 The Historical Annual Dividend Distribution (HADD) is as of the day prior to trust deposit and subject to change. There is no guarantee the issuers of the securities included in the Trust will declare dividends or distributions in the future. The HADD of the securities included in the Trust is for illustrative purposes only and is not indicative of the Trust's distribution rate. The HADD is the weighted average of the trailing twelve-month distributions paid by the securities included in the portfolio, adjusted to reflect corporate actions (such as stock splits), if applicable, and is reduced to account for the effects of fees and expenses, which will be incurred when investing in the Trust. The HADD will vary due to certain factors that may include, but are not limited to, a change in the dividends paid by issuers, a change in Trust expenses or the sale or maturity of securities in the portfolio. **4** Fee/Wrap-based accounts will not be assessed the deferred sales charge for eligible purchases and must purchase units with a Fee-based CUSIP. For unit prices other than $10, percentage of the C&D fee will vary. **5** The deferred sales charge (DSC) is a fixed amount and will be deducted in monthly installments on the last business day commencing February 2027 and ending April 2027 or upon early redemption. For unit prices other than $10, percentages of C&D fees, and DSCs will vary but in no event will the maximum sales charge (S.C) exceed the total S.C. Early redemption of units will still cause payment of the DSC. However, an initial sales charge, which is equal to the difference between the maximum S.C and the sum of any remaining deferred S.C charges and C&D, will be charged if the price paid for units exceeds $10 per unit or you purchase units after the first DSC payment has been assessed. **6** Estimated Organization Expenses are assessed on a fixed dollar amount per unit basis, therefore, actual organization costs may be more or less than estimates. For additional information on organizational costs and potential caps, please see the prospectus. **7** Trust operating expenses include fees for administration, bookkeeping, the trustee, sponsor, and evaluator. This expense also includes an estimated Trust operating expense based upon an estimated trust size. If the Trust does not reach or falls below the estimated size, the actual amount of the operating expenses may exceed the amount reflected. Please see "Fees and Expenses" in the Trusts prospectus for additional information.

INDEX DEFINITIONS: The **Bloomberg U.S. Aggregate Bond Index** is a broad-based benchmark that measures the investment grade, U.S. dollar denominated, fixed-rate taxable bond market, including Treasuries, government-related and corporate securities, MBS (agency fixed-rate and hybrid ARM passthroughs), ABS, and CMBS. The **S&P 500® Index** is designed to measure the performance of the broad domestic economy through changes in the aggregate market value of 500 stocks representing all major industries.

The Balanced Income Builder Portfolio, Series 57 is a Unit Investment Trust.

RISK CONSIDERATIONS: As with all investments, you may lose some or all of your investment in the Trust. No assurance can be given that the Trust's investment objective will be achieved. The Trust also might not perform as well as you expect. This can happen for reasons such as these: • Securities prices can be volatile. The value of your investment may fall over time. Market values of the Trust's securities fluctuate in response to various factors affecting an issuer. Events such as war, terrorism, natural and environmental disasters, public health emergencies are impossible to predict and may adversely affect the economy, which may negatively impact the performance of the Trust and the Trust's ability to achieve its investment objectives. • The Trust invests in shares of ETFs, which are subject to various risks, including management's ability to meet the fund's investment objective. Shares of ETFs may trade at a premium or discount from their net asset value (NAV) in the secondary market and the Trust may receive a price that is less than the ETF's NAV. This risk is separate and distinct from the risk that the NAV of the ETF shares may decrease. • The ETFs are subject to annual fees and expenses, including a management fee. Unitholders of the Trust will bear these fees in addition to the fees and expenses of the Trust. • The Trust is subject to an ETF's index correlation risk. • The value of the fixed-income securities in the ETFs will generally fall if interest rates, in general, rise. Typically, fixed-income securities with longer periods before maturity are more sensitive to interest rate changes. • An ETF or an issuer of securities held by an ETF may be unwilling or unable to make principal payments and/or to declare distributions in the future, may call a security before its stated maturity, or may reduce the level of distributions declared or may suspend dividends. This may result in a reduction in the value of your units. • The financial condition of an ETF or an issuer of securities held by an ETF may worsen, resulting in a reduction in the value of your units. • Economic conditions may lead to limited liquidity and greater volatility. • Securities that are rated below investment-grade ("junk" bonds) and/or securities that are rated investment-grade by only one rating agency, which are speculative and subject to greater market and credit risks, and the risk of nonpayment or default is higher. • Securities issued by small- and mid-cap companies which involve more investment risk due to limited product lines, markets or financial resources and may be more vulnerable to adverse general market or economic developments. • The Trust may be susceptible to potential risks through breaches in cybersecurity. • The Trust is subject to risks arising from various operational factors and their service providers. Although the Trust seeks to reduce operational risks through controls and procedures, there is no way to completely protect against such risks. **Please see the Trust prospectus for more complete risk information.**

Unit Investment Trusts are fixed, not actively managed and should be considered as part of a long-term strategy. Investors should consider their ability to invest in successive portfolios, if available, at the applicable sales charge. UITs are subject to annual fund operating expenses in addition to the sales charge. Investors should consult an attorney or tax advisor regarding tax consequences associated with an investment from one series to the next, if available, and with the purchase or sale of units. Guggenheim Funds Distributors, LLC does not offer tax advice.

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Read the Trust's prospectus carefully before investing. It contains the Trust's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus at GuggenheimInvestments.com.

Guggenheim Funds Distributors, LLC

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